Expression of estrogen and androgen receptors in differentiated thyroid cancer: an additional criterion to assess the patient’s risk

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Abstract

Estrogen receptor (ER) and androgen receptor (AR) may be expressed in thyroid tumors, but their prognostic role is controversial. We investigated whether ER and AR expressions could confer a more aggressive phenotype to thyroid tumors. We enrolled 91 patients (13 males and 78 females, mean age 49.3 ± 14.8 years) bearing small (T1 in the 2006 TNM system) differentiated thyroid cancers (DTC). Thirty-eight tumors were incidental histological findings. Using immunohistochemistry, we evaluated ERα, ERβ, and AR expressions in tumors and in its correspondent extra-tumor parenchyma. In tumors, 13 (16.7%) women and one (7.7%) man expressed ERα; 42 (53.8%) women and six (46%) men expressed ERβ; and 16 (20.5%) women and three (23.1%) men expressed AR. In normal thyroid parenchymas, ERβ was expressed in 52 (66.7%) women and nine (69.2%) men, ERα in three (3.8%) women, and AR in 13 (16.7%) women. Compared with normal thyroid parenchyma, tumors gained ERα and lost ERβ expressions. Incidental cancers were more commonly ERα (−) than ERα (+) (47.7 vs 14.3%, P = 0.037). Postsurgical serum thyroglobulin was higher in ERα (+) tumors than in the ERα (−) tumors (P = 0.04). ERβ (−) tumors showed vascular invasion more frequently than the ERβ (+) tumors (26.2 vs 4.1%, P = 0.005). AR (+) tumors showed capsular invasion more frequently than the AR (−) tumors (77.8 vs 46.6%, P = 0.014). In conclusion, ERα positivity, ERβ negativity, and AR expressions are associated with a more aggressive phenotype of small T1-DTC. ER and AR expressions may represent an additional criterion in deciding whether to perform radioiodine ablation in these tumors.

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Introduction

Steroid hormones (SHs) are an important class of cell regulators (Kumar & Thompson 1999, Simoncini & Genazzani 2003). Their biological effects are mediated by a wide spectrum of ligand-dependent intracellular transcription factors (the SH receptors (SHRs)), which include estrogen receptor (ER), androgen receptor (AR), progesterone receptor, glucocorticoid receptor, and mineralocorticoid receptor (Stanisic et al. 2010). A role for SHs in the development and progression of human cancer has been observed, each SH being involved in a specific subset of neoplasm (Ahmad & Kumar 2011). Cumulative exposure to estrogens plays a role in the development, growth, and progression of breast cancer (Badve & Nakshatri 2009), while androgens are essential for the initiation and progression of prostate cancer (Heinlein & Chang 2004). SHRs and their ligands may also be involved in the
development of other types of neoplasm, such as colon, lung, and thyroid cancers (Ahmad & Kumar 2011).

Differentiated thyroid cancer (DTC) displays a relevant gender disparity being three times less frequent in men than in women, who are often diagnosed with thyroid cancer in their premenopausal age (Jemal et al. 2010). Estrogen–progesterone therapy was suggested as a risk factor in the development of thyroid neoplasm (Persson et al. 1996) and, at least in one study, thyroid cancer diagnosed during pregnancy or in the early postpartum period was reported to have a worse prognosis (Vannucchi et al. 2010). In spite of this evidence, the epidemiological relationship between thyroid cancer and women’s reproductive life remains unclear due to the great variability observed among different ethnic groups (Mack et al. 1999, Negri et al. 1999, Pham et al. 2009).

Both the α and β subtypes of ER have been demonstrated in normal and tumor thyroid tissues (Manole et al. 2001, Lee et al. 2005). The level of ER expressions differs in normal compared with tumor tissue and among different histotypes of thyroid tumors (Zeng et al. 2007, 2008). Well-DTC are more often ER(+) and have a higher degree of ER expressions compared with the undifferentiated or anaplastic cancers (Tavangar et al. 2007, Zeng et al. 2008). Compared with normal thyroid parenchyma, ERα expression is usually increased in thyroid cancers, while ERβ expression is decreased (Chen et al. 2008). In vitro stimulation of benign and malignant thyroid cells with 17β-estradiol (E2) results in an increased proliferation rate, which is more evident in tumor cells (Manole et al. 2001, Lee et al. 2005). The proliferation of thyroid cancer cells appears to be dependent on ERα-mediated pathways, because it is increased by selective ERα agonists and reduced by ERβ agonists (Chen et al. 2008). In cultured papillary thyroid cancer cells, E2 treatment does increase ERα expression but not ERβ levels (Zeng et al. 2008). More importantly, E2-stimulated thyroid cancer cell lines may acquire mitogenic, migratory, and invasive properties, which are typical of a metastatic phenotype (Rajoria et al. 2010). Despite this in vitro evidence, the in vivo oncogenic role of ERs remains controversial. To date, studies on ERs status in thyroid cancers did not reveal significant differences in relation to age, histotype, or tumor stage (Kansakar et al. 2009). As a consequence, their role in thyroid carcinogenesis is still controversial.

Only few data are available regarding the expression of ARs and their role in thyroid cells. The presence of ARs was demonstrated both in normal and in tumor thyroid tissues, with variable degrees of expression (Zhai et al. 2003). Papillary and follicular cancer cell lines undergoing testosterone stimulation display an upregulation of ARs (Banu et al. 2001) and a tendency to proliferate (Banu et al. 2002). More recently, Banu et al. found a varying pattern of testosterone level and AR status in thyroid tissues of men and women possibly predisposing to the gender-specific incidence of thyroid tumors (Stanley et al. 2012). No data are currently available on the phenotype of AR(+) thyroid cancer.

Despite these data, ERs and ARs are not mentioned in the more recent guidelines for the diagnosis and management of DTC (Cooper et al. 2009). This may be due to inconclusive data regarding the clinical implications of ER and AR expressions in thyroid tumors. Even if many advances in the diagnosis and management of DTC have been made, the debate is still open in many areas, including the indication for postsurgical radiodine (RAI) ablation treatment in small DTCs. To date, RAI ablation is not recommended for patients with unifocal cancer <1 cm or multifocal cancer when all foci are <1 cm in the absence of other higher risk features. Even for 1–4 cm tumors, RAI ablation is recommended in selected patients, who have an intermediate or a high risk for recurrence or death. These higher risk categories are defined as microscopic or macroscopic invasion of tumor into the perithyroidal soft tissues at initial surgery, cervical lymph node metastases, tumor with aggressive histology (such as tall cell, columnar, insular, and solid variants, as well as poorly DTC), vascular invasion, incomplete tumor resection, and distant metastases. According to these recommendations, the majority of currently detected thyroid cancers, mainly T1, smaller than 2 cm in size, will not receive RAI ablation treatment. A main limitation of the currently used risk stratification schemes is that they do not take into account the prognostic implications of the molecular characteristics of the primary tumor. A possible role for ERs and ARs could be hypothesized in this area.

Moving from the above considerations, we retrospectively evaluated the expression of ERs and ARs in surgical specimens of a large series of T1 thyroid tumors and in the correspondent extra-tumor parenchyma. We searched for a correlation between ER and AR expressions, on one side, and both the histological phenotype of the tumor and the clinical features of patients, on the other side. The hypothesis to be tested was whether the expression of sex steroid receptors could confer a more aggressive phenotype to thyroid tumors.
Subjects and methods

Patients

The study group included 91 patients (13 males and 78 females, age 20–83 years, mean 49.3±14.8 s.d.) who were diagnosed by histology with a DTC of <2 cm in size (T1 according to the 2006 revision of the TNM staging system). In the female subgroup, 37 patients (47.4% of the women studied) were diagnosed in their premenopausal age. Postmenopausal hormone replacement therapy was considered as an exclusion criterion.

Before surgery, all patients underwent a complete thyroid work-up, which included personal and family history of thyroid diseases and/or autoimmune disorders; clinical examination; and laboratory tests for serum-free thyroxine (FT$_4$), free tri-iodothyronine (FT$_3$), TSH, thyroid antibodies (thyroglobulin antibody (TG-Ab), thyroperoxidase antibody (TPO-Ab), and TSH receptor antibody (TRAb)), and calcitonin. A standardized thyroid ultrasound (US) scan was also performed with the estimation of thyroid gland volume, echogenicity of the parenchyma, thyroid work-up, which included personal and family history of thyroid diseases and/or autoimmune disorders; clinical examination; and laboratory tests for serum-free thyroxine (FT$_4$), free tri-iodothyronine (FT$_3$), TSH, thyroid antibodies (thyroglobulin antibody (TG-Ab), thyroperoxidase antibody (TPO-Ab), and TSH receptor antibody (TRAb)), and calcitonin. A standardized thyroid ultrasound (US) scan was also performed with the estimation of thyroid gland volume, echogenicity of the parenchyma, thyroid blood flow, and US characteristics of any nodule. All nodules >1 cm in diameter and with suspicious ecographic features were submitted to fine-needle aspiration cytology (FNAC). Fifty-three patients underwent surgery for known malignant or suspicious nodules as assessed by FNAC. In the remaining 38 patients, indications for thyroid surgery were large multi-nodular goiters with compressive symptoms (n=28), Graves’ disease (n=5), and toxic adenoma (n=5). In the latter group, the tumor was an incidental finding being detected by histology. When lobectomy only had been performed, it was followed by total thyroidectomy. After total thyroidectomy, which was performed in all cases, patients were treated with l-T$_4$ at TSH-suppressive doses. Thyroid hormone profile, serum Tg, and TgAb were checked on day 45 post-surgery.

Data relative to follow-up (postsurgical thyroglobulin and RAI ablation) were evaluated only in patients with a follow-up longer than 1 year (n=63).

All patients gave their informed consent concerning the future use of clinical and pathological data for research purposes, in accordance with the Institutional Ethics Committee on human experimentation.

Laboratory assays

Serum concentrations of FT$_4$ (normal range: 8.0–19.0 pg/ml), FT$_3$ (normal range: 1.8–4.2 pg/ml), TSH (normal range: 0.4–4.0 mIU/l), and Tg (functional sensitivity, 0.36 ng/ml; Giovanella et al. 2007) were measured using immunochemiluminescent assays by an automated analyzer (Immuleite 2000; DPC Cirrus, Los Angeles, CA, USA) using commercial kits (Diagnostic Products Corporation, Los Angeles, CA, USA). Serum concentrations of TG-Ab (normal range: <60 U/ml) and TPO-Ab (normal range: <60 U/ml) were measured using immunochemiluminescent assays using commercial kits (Brahms, Hennigsdorf, Germany). TRAbs were measured using a second-generation human TSH receptor assay (LIA TRAK human; Brahmns) with a sensitivity of 1.0 U/l.

Surgical samples’ immunohistochemistry and assessment of SHR expressions

From the formalin-fixed, paraffin-embedded tumor specimens, sections were obtained and observed at light microscope. Tumors were investigated for size, histotype, tumor capsule, thyroid capsule or vessels invasion, necrosis, psammoma bodies, and grade of differentiation. Histological examination was performed in double blind by two expert pathologists. Tumors being detected by histology after thyroidectomy for benign diseases were defined as incidental carcinomas. Four micron-thick tissue sections, each of them including the tumor area and the normal surrounding thyroid tissue, were immunostained for ERz, ERb, and AR. In order to unveil specific epitopes, tissue samples were pretreated in a pressure cooker for 10 min at 121 °C. After cooling to room temperature, slide-mounted tissue sections were incubated with a monoclonal mouse antihuman ERz antibody (clone 1D5, DakoCytomation; DAKO-Italia, Milan, Italy) diluted 1:40, a monoclonal mouse antihuman ERb antibody (clone PPG5/10, DakoCytomation; DAKO-Italia) diluted 1:20, and a monoclonal mouse antihuman AR antibody (clone AR441, DakoCytomation; DAKO-Italia) diluted 1:50. The immunocytochemical staining was performed using the automated DakoCytomation Autostainer platform. To minimize nonspecific staining, the optimal working dilution of each antibody was determined using positive and negative control tissues such as breast and prostate cancers. Only cells showing a nuclear staining were considered positive for ERs or ARs respectively. Scoring of immunoreactivity of ERz, ERb, and AR expressions in tumor and normal cells was performed on high-power field (×440) using a standard light microscope. The examination field was simultaneously evaluated by two pathologists using a double-headed microscope. Interobserver differences were <1%. Immunohistochemistry results were scored as follows: 0, negative; 1, <30%; 2, 30–60%; and 3, >60%.
Statistical analysis

Quantitative values were expressed as mean ± S.D.s and as median and interquartile range, when data deviated from the normal distribution. Difference between groups was evaluated by the Fisher exact test and two-tailed Wilcoxon test for quantitative variables deviating from the normal distribution. Logistic regression was performed for estimating the relative risk. Statistical analysis was performed using the SPSS Software (SPSS, Inc., Evanston, IL, USA). A P value <0.05 was considered statistically significant.

Results

Histopathological findings and sex steroid receptor expression

Histological diagnoses and tumor features are summarized in Table 1. In the whole study group, the mean tumor size was 9.4 ± 4.5 mm (S.D.), a significant difference being observed between clinical and incidental tumors (11.1 ± 4 mm vs 7.4 ± 4.2 mm, P=0.001). More than half of tumor specimens were classical papillary cancers, and their histotype was found in all male patients. The papillary–follicular variant was observed only in the female group. Multifocal cancers were detected in 26.4% of the specimens. In most cases, thyroid cancers showed a good or intermediate degree of differentiation with no difference between women and men. There were only four cases of poorly DTC, all detected in the female subgroup.

Table 2 shows the pattern of expression of ER\(\alpha\), ER\(\beta\), and AR in the tumor and in the surrounding normal parenchyma. ER\(\alpha\) expression was found in 13 (16.7%) tumor specimens in the female subgroup (seven classical papillary and six papillary–follicular variants) and in one (7.6%) man affected by classical

<table>
<thead>
<tr>
<th>Tumor</th>
<th>Normal surrounding tissue</th>
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<tbody>
<tr>
<td>ER(\alpha)</td>
<td>ER(\beta)</td>
</tr>
<tr>
<td>Women</td>
<td></td>
</tr>
<tr>
<td>Classical papillary (n=46)</td>
<td>7 (15.2%)</td>
</tr>
<tr>
<td>Papillary follicular variant (n=21)</td>
<td>6 (28.6%)</td>
</tr>
<tr>
<td>Papillary sclerosing variant (n=7)</td>
<td>0</td>
</tr>
<tr>
<td>Pure follicular (n=4)</td>
<td>0</td>
</tr>
<tr>
<td>Total=78</td>
<td>13 (16.7%)</td>
</tr>
<tr>
<td>Men</td>
<td></td>
</tr>
<tr>
<td>Classical papillary (n=13)</td>
<td>1 (7.7%)</td>
</tr>
<tr>
<td>Women + men</td>
<td></td>
</tr>
<tr>
<td>Total=91</td>
<td>14 (15.4%)</td>
</tr>
</tbody>
</table>

Data presented, number (%).
papillary tumor. ERβ(+) tumors were found in 42 (53.8%) specimens in the female subgroup (25 classical papillary, 12 papillary–follicular variant, four papillary sclerosing variant, and one pure follicular) and six (46%) specimens in the male subgroup. The normal thyroid parenchyma expressed ERβ in 52 (66.7%) women and in nine (69.2%) men. ERα(+) cells in the normal thyroid parenchyma were found in three women (3.8%) and in none of the men. AR was expressed in 16 (20.5%) tumor specimens in the female subgroup and in three (23.1%) specimens in the male subgroup. The pattern of expression of ERs and ARs did not significantly differ in pre- and postmenopausal women. Therefore, they were analyzed as a whole group.

Table 3 shows the changes in expression of ERα, ERβ, and AR in the tumor compared with the surrounding normal parenchyma. In most ERα(+) tumors, the receptor was gained with respect to the normal thyroid parenchyma. On the contrary, most tumors lost the expression of ERβ or their immunoreactivity score was definitely lower when compared with the normal surrounding tissue. Changes in AR expression between tumor and normal parenchyma showed a wide interindividual variability.

Both in the whole group and in the female subgroup, the prevalence of incidental tumors was significantly lower among the ERα(+) tumors than among the ERα(−) tumors (14.3 and 47.7% respectively, *P* = 0.037; Fig. 1). The percentage of incidental findings did not significantly differ in ERβ(+) compared with ERβ(−) tumors. Among tumors that gained ERα expression, only 8% were well differentiated while the prevalence of well-differentiated tumors in the ERα(−) group was 25% (NS). ERβ(−) tumors showed histological evidence of vascular invasion in 11 out of 42 (26.2%) specimens as opposed to only two out of 49 (4.1%) ERβ(+) specimens. This difference was statistically significant (*P* = 0.005), conferring a relative risk of 1.20 (95% CI 0.025–0.578; *P* value 0.008). Similar results were found in the whole study group and in the female subgroup (Fig. 2).

In the whole study group, AR(+) tumors showed histological evidence of capsular invasion in a significantly higher percentage than the AR(−) tumors (77.8 vs 46.6%, *P* = 0.014; Fig. 3).

### Sex steroid receptor expression and postsurgical serum Tg levels

Among 63 patients with a follow-up longer than 1 year, 19 patients were treated with thyroidectomy only.

| Same degree of expression in the tumor and in the normal tissue | Loss of expression in the tumor | Gain of expression in the tumor | AR | ERα | ERβ |
|---|---|---|---|---|
| Women | Classical papillary (n = 46) | 0/7 (0%) | 10/25 (40%) | 5/25 (20%) | 12/25 (48%) | 1/31 (3.2%) |
| Papillary follicular variant (n = 21) | 2/6 (33.3%) | 2/12 (16.7%) | 1/8 (12.5%) | 4/6 (66.7%) | 1/12 (8.3%) |
| Papillary sclerosing variant (n = 7) | 0/0 (0%) | 0/4 (0%) | 0/1 (0%) | 0/0 (0%) | 0/4 (0%) |
| Pure follicular (n = 4) | 0/0 (0%) | 0/1 (0%) | 0/2 (0%) | 0/0 (0%) | 1/2 (50%) |
| Total | 2/13 (15.4%) | 12/42 (28.6%) | 6/16 (37.5%) | 11/13 (84.6%) | 2/42 (4.8%) |
| Men | Classical papillary (n = 13) | 0/1 (0%) | 3/6 (50%) | 0/3 (0%) | 1/1 (100%) |
| Papillary follicular variant (n = 9) | 0/8 (0%) | 13/31 (41.9%) | 5/8 (62.5%) | 8/10 (80%) |
| Papillary sclerosing variant (n = 4) | 0/1 (0%) | 2/14 (14.3%) | 0/2 (0%) | 0/4 (0%) |
| Pure follicular (n = 3) | 0/1 (0%) | 1/14 (7.1%) | 0/1 (0%) | 0/1 (0%) |
| Total | 0/14 (0%) | 3/49 (6.1%) | 0/6 (0%) | 0/13 (76.9%) | 2/49 (4.1%) |

Data presented, number (%). Percentages are calculated upon the number of specimens expressing ERα, ERβ, or AR in tumors.

Among 63 patients with a follow-up longer than 1 year, 19 patients were treated with thyroidectomy only.
We then evaluated the clinical and pathological presentation of small (T1) thyroid tumors in relation to the expression pattern of these sex hormone receptors. ERα and AR both in normal and in tumor thyroid tissues. Lee et al. (2001) indicated as a predictor of distant metastasis in well-differentiated thyroid carcinomas (Mete & Asa 2011). Consistent with the previous data (Manole et al. 2001, Lee et al. 2005), we found an expression of ERα, ERβ, and AR both in normal and in tumor thyroid tissues. We then evaluated the clinical and pathological presentation of small (T1) thyroid tumors in relation to the expression pattern of these sex hormone receptors.

ERβ expression was partially or totally lost in tumors compared with the correspondent normal surrounding tissue. ERβ(–) tumors were more aggressive than the ERβ(+) tumors, because at histology they showed a significantly higher prevalence of vascular invasion. This feature was recently indicated as a predictor of distant metastasis in well-differentiated thyroid carcinomas (Mete & Asa 2011). Loss of ERβ was previously described in thyroid (Chen et al. 2008) as well as in prostate and colon cancers, and above all in breast cancer (Bardin et al. 2004). However, a negative relation between ERβ expression and vascular invasion was previously reported only in breast cancer. These results support the hypothesis that ERβ expression has a protective role in the oncogenic process (Fox et al. 2008), possibly due to ERβ-activated pro-apoptotic pathways (Zeng et al. 2007, 2008).

ERα expression was acquired or increased in the tumor when compared with the correspondent normal tissue. At diagnosis, a significant majority of ERα(+) thyroid cancers were clinically evident, being detected before surgery by FNAC. These findings suggest that ERα(+) thyroid cancers might be more aggressive and are in line with previous in vitro experiments demonstrating that ERα-activated pathways are able to enhance cell growth (Clarke 2003) and that ERα-selective stimulation promotes thyroid cell proliferation (Zeng et al. 2007, 2008). The finding that ERα(+) tumors were more frequently non-incidental is particularly relevant because previous studies indicated that non-incidentally discovered papillary thyroid microcarcinomas (i.e. papillary carcinomas <1.5 cm in size) are at increased risk of persistent/relapsing disease (Pellegriti et al. 2004, Pisanu et al. 2009). In our series, ERα(+) patients also had higher serum levels of postsurgical Tg while on l-T4 therapy. Although not considered a sensitive marker, a higher postsurgical serum Tg might indicate a less favorable prognosis (Lin et al. 2002, Brassard et al. 2011). The carcinogenetic role of ERα is well established in breast tumors (Clemens & Goss 2001), but it is now considered relevant also in lung (Rades et al. 2008).
et al. 2012), colon (Barone et al. 2012), and other types of neoplasms.

AR expression was less common, and its gradient of expression between tumor and normal parenchyma was more variable. AR(+) tumors were more aggressive than the AR(−) tumors, due to a significantly increased prevalence of capsular invasion. These data are of particular interest because a recent study investigating papillary microcarcinomas demonstrated that capsular invasion is an independent factor significantly increasing the risk of nodal metastasis (Pisanu et al. 2009). Overall, our results strongly suggest that the pattern of ER and AR expressions could be used as a tool to identify high-risk patients with T1-DTC and to decide which of them would deserve postsurgical RAI ablation.

In thyroid cell lines, ERα and AR have been reported to be upregulated by E2 and testosterone respectively (Banu et al. 2001, 2002, Zeng et al. 2007, 2008). Studies concerning the expression pattern of ERs in vivo are still scarce. In medullary thyroid cancer and C-cells hyperplasia, AR expression was found to be increased in male patients (Zhai et al. 2003). Moreover, AR mRNA expression was found to be increased in a majority of men and decreased in a majority of women, also in a series of non-medullary thyroid carcinomas (Stanley et al. 2012). In our series of DTC, the ER and AR expression patterns did not significantly differ when considering men, premenopausal women, or postmenopausal women. The discrepancy with in vitro data might be attributed to the different experimental conditions, i.e. acute in vitro exposure to a constant hormonal stimulus compared with chronic in vivo exposure to fluctuant sex steroid concentrations. Owing to the lack of data on circulating levels of estrogens and androgens in patients at the time of surgery, we cannot exclude the role of these hormones in modulating the expression of ERs and ARs in thyroid tissue.

The effects of ERs on different molecular pathways involved in growth and function of the thyroid gland have recently been reviewed. The authors hypothesized a potential new avenue and clinical utility for ERs as a target for prevention and treatment of thyroid cancers (Rajoria et al. 2011). Future studies will be needed to further investigate this possibility.

In conclusion, our data, obtained in a large series of T1-DTC, showed that ERα positivity, ERβ negativity, and AR expression are associated with a more aggressive phenotype. The expression pattern of estrogen and ARs may represent an additional criterion to be considered in the assessment of the patient’s risk and in the decision about performing a postsurgical treatment with RAI of T1-DTC.

Declaration of interest

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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