Estrogen receptor $\beta$ expression and androgen receptor phosphorylation correlate with a poor clinical outcome in hormone-naïve prostate cancer and are elevated in castration-resistant disease

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Abstract

Patients with advanced prostate cancer (PC) are usually treated with androgen withdrawal. While this therapy is initially effective, nearly all PCs become refractory to it. As hormone receptors play a crucial role in this process, we constructed a tissue microarray consisting of PC samples from 107 hormone-naïve (HN) and 101 castration-resistant (CR) PC patients and analyzed the androgen receptor (AR) gene copy number and the protein expression profiles of AR, Serin210-phosphorylated AR (pAR$^{210}$), estrogen receptor (ER)$\beta$, ER$\alpha$ and the proliferation marker Ki67. The amplification of the AR gene was virtually restricted to CR PC and was significantly associated with increased AR protein expression ($P<0.0001$) and higher tumor cell proliferation ($P=0.001$). Strong AR expression was observed in a subgroup of HN PC patients with an adverse prognosis. In contrast, the absence of AR expression in CR PC was significantly associated with a poor overall survival. While pAR$^{210}$ was predominantly found in CR PC patients ($P<0.0001$), pAR$^{210}$ positivity was observed in a subgroup of HN PC patients with a poor survival ($P<0.05$). Epithelial ER$\alpha$ expression was restricted to CR PC cells (9%). ER$\beta$ protein expression was found in 38% of both HN and CR PCs, but was elevated in matched CR PC specimens. Similar to pAR$^{210}$, the presence of ER$\beta$ in HN patients was significantly associated with an adverse prognosis ($P<0.005$). Our results strongly suggest a major role for pAR$^{210}$ and ER$\beta$ in HN PC. The expression of these markers might be directly involved in CR tumor growth.

Key Words
- prostate cancer
- castration resistance
- hormone receptors
- hormone naïve
- androgen receptor
- estrogen receptor

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Introduction

Prostate cancer (PC) is the most frequently diagnosed cancer among males in developed countries (Jemal et al. 2011). During the last few decades, mortality from PC in developed countries has decreased, mainly due to earlier detection and improved treatment options. However, PC still accounts for 9% of the total cancer deaths (Jemal et al. 2011).

At initial diagnosis, PCs depend on androgens for their growth and are usually referred to as hormone-naïve (HN) or untreated PCs. The response to androgens is mediated by the androgen receptor (AR) protein, which upon binding of the ligand in the cytosol dissociates from the inhibitory heat shock proteins, gets phosphorylated and translocates to the nucleus (Brinkmann et al. 1999, Feldman & Feldman 2001). The active AR homodimers are able to bind to androgen-response elements (AREs) and thus lead to the transcriptional activation of AR target genes, such as the prostate-specific antigen (PSA), as well as other genes that contribute to cell survival and growth (e.g. CDK1 and CDK2) (Feldman & Feldman 2001). This androgen dependency is the rationale behind androgen deprivation therapy (ADT) in patients with advanced PC. This therapy is effective in most of the patients and thus leads to tumor regression. However, after a few months or years, nearly all PCs overcome the effect of this ablation and recur as castration-resistant (CR) PCs. The therapeutic options in CR PC are very limited. It is, therefore, of utmost importance to understand the mechanisms that are responsible for this progression. One of the mechanisms comprises an increased sensitivity to the remaining low levels of androgens, for example, by overexpression of the AR due to genomic amplification (Visakorpi et al. 1995) or by decreased ligand specificity because of mutations in the ligand-binding domain of the AR gene (Wilding et al. 1989, Taplin et al. 1995). Furthermore, direct phosphorylation of the AR protein by MAPK, AKT and tyrosine receptor kinases has also been shown to increase AR sensitivity (Culig 2004, Edwards & Bartlett 2005, Guo et al. 2006). Until now, 17 phosphorylation sites of the AR have been described in the literature (reviewed in Anbalagan et al. 2012). In particular, the phosphorylation of serine residues 210 and 790 (pAR210 and pAR790) by the PI3K/AKT pathways can result in the modulation of the stability of the AR protein (Lin et al. 2003). Furthermore, it has been suggested that the phosphorylation of the AR at serine residue 210 by the AKT kinase may sensitize the AR protein to low circulating levels of androgens and thus promote progression to castration resistance (Rochette-Egly 2003, Edwards & Bartlett 2005). Recently, an increase in the pAR210 level has been reported in the transition from HN to CR PC and elevated pAR210 levels in CR PC have been reported to be associated with a poor clinical outcome (McCall et al. 2008).

Although PC research has been ‘androgen’ dominated, evidence has accumulated that estrogens and their corresponding receptors (ERz and ERb) can significantly influence PC growth and progression. Both receptors are expressed in the adult prostate, but whereas ERz expression appears to be restricted to the stromal compartment, ERb is present in both the epithelial cells and, to a lesser extent, in the stromal compartment (reviewed in Carruba (2007)). Especially, the role of the latter has been controversially discussed: the loss of ERb was shown to correlate with disease progression (Latil et al. 2001) and to induce epithelial-mesenchymal transition (Mak et al. 2010) and higher expression levels of ERb were associated with a poor outcome in PC patients (Nanni et al. 2009).

In order to study the role of the AR and the two estrogen receptors ERz and ERb in the context of PC progression, we performed a comprehensive analysis of their expression (AR, ERz and ERb), phosphorylation (pAR210) and genomic amplification status (AR) in a cohort of 202 patients with HN or CR PC. Here, we demonstrate that pAR210 is increased in CR PC and that high levels of AR, ERb and pAR210 in HN PC correlate with a poor clinical outcome.

Materials and methods

Tissue microarray and patients

The use of clinical specimens for the construction of the crTMA was approved by the ethical committee of the University of Basel and the University Hospital of Basel. The crTMA was manufactured as described previously (Konnonen et al. 1998). Briefly, tissue cylinders with a diameter of 0.6 mm were punched from the ‘donor’ tissue blocks containing the specimens from transurethral resections of the prostate (TURPs) using a home-made, semi-automatic robotic precision instrument. From each specimen, three cores were arrayed. The composition of the crTMA is described in detail in Supplementary Table 1, see section on supplementary data given at the end of this article. All specimens analyzed in this study...
were from tissue biopsies obtained by transurethral resection. Castration resistance was defined as locally obstructive recurrence and/or PSA recurrence during ADT. ADT was surgical (orchietomy) or medicamentous (GNRH agonists and/or antiandrogens). Median follow-up time for all patients (HN and CR) was 22 months. Median time of ADT until surgery of CR recurrence was 49 months. Median time of transition from HN to CR disease for patients \( (n=36) \) with matched specimens was 34 months.

**Immunohistochemistry**

Immunohistochemistry (IHC) was performed according to standard indirect immunoperoxidase procedures. The primary antibody was omitted for negative controls. All slides were read manually by an experienced pathologist (L B). The AR antibody (M3562, Dako, Carpinteria, CA, USA) was used in a 1:200 dilution (pretreatment: microwave, 98 °C, 60 min; citrate buffer 10 mM, pH 6). The ERα antibody (Clone SP1, #790-4324, Ventana Medical Systems, Tucson, AZ, USA) was used prediluted (pretreatment: CC1 mild) and staining was performed using the iView DAB detection kit (Ventana Medical Systems). ERβ (NCL-ER-beta, Leica, Wetzlar, Germany) was used in a 1:50 dilution after pretreatment (microwave, 98 °C, 30 min; citrate buffer 10 mM, pH 6). Ki67 incubations (M7240, Dako) were performed as described previously (Zellweger *et al*. 2003). For the detection of pAR \(^{210}\), the antibody IMG-561 (Imgenex, San Diego, CA, USA) was used in a 1:50 dilution (pretreatment: pressure cooker, 120 °C, 5 min; citrate buffer 10 mM, pH 6). Images were obtained using a Zeiss AXIO Imager.A1 microscope (Zeiss, Jena, Germany) equipped with an AxioCam (Zeiss) and the AxioVision 4.6 software (Zeiss).

**Fluorescence in situ hybridization**

For proteolytic slide pretreatment, a commercial kit was used (Paraffin pretreatment reagent kit, Vysis, Downers Grove, IL, USA). A Spectrum Orange-labeled probe specific for the AR gene was used (Xq12 probe, Vysis) along with the Spectrum Green-labeled centromere X probe (Vysis). Hybridization was performed as described previously (Ruiz *et al*. 2006). A tumor was considered amplified if the ratio of AR gene copy number to centromere X was \( >2.0 \). Images were obtained using a Zeiss Axioplan 2 fluorescence microscope (Zeiss) equipped with an ISIS digital camera (MetaSystems, Altlussheim, Germany).

**Cut-offs, data analysis and statistics**

For the protein expression analysis of AR, Ki67, ERα, ERβ and pAR \(^{210}\), the percentage of positive tumor cells was noted and used as a score. In general, the nuclear staining intensity was uniform and unequivocal, and thus a quantification of the nuclear staining was not performed. For dichotomous categorization, ROC curve analyses were performed (see Supplementary Table 3, see section on supplementary data given at the end of this article for the cut-off values). In addition, for AR analysis (see Results), a composite score \((0–300)\) was calculated by multiplying the maximal recorded staining intensity \((1–3)\) with the percentage of positive tumor cells (Ruiz *et al*. 2010). For correlation studies between different markers, every evaluable spot was considered for the analysis; that is, the analysis was performed on a ‘spot-by-spot’ basis. All other analyses (i.e. with clinical data, such as treatment status, cM, cT and survival data) were performed on a ‘one-value-per-specimen’ basis, thereby considering only one value per specimen. If more than one spot/value per specimen was evaluable, the spot with the maximal score was included in the analysis.

Statistical analysis was performed with the software JMP 8 (SAS Institute, Inc., Cary, NC, USA). Differences between two groups were analyzed with the Wilcoxon Rank Sum test; differences between more than two groups were analyzed using the Kruskal–Wallis Rank Sum test. Survival curves were plotted using the Kaplan–Meier method and differences were assessed using the log-rank test. \( P \) values \(<0.05\) were considered as statistically significant.

**Results**

**Different status of hormone receptors in HN and CR PCs**

We constructed a tissue microarray (TMA) from 231 TURPs from a total of 202 patients treated with advanced, locally obstructive PC in order to study the role of hormone receptors during the progression from HN to CR PC (see Supplementary Table 1 for a summary). Clinical follow-up data were available for 227 of the 231 patients. Median overall survival time for patients with HN PC and CR PC was 3.7 years and 10.3 months respectively. Survival analysis of basic clinical or pathological features is summarized in Supplementary Figure 1 . As expected, Gleason pattern was a strong predictor of overall survival in both HN and CR PCs. Furthermore, cM stage and ‘age at surgery’ had prognostic significance in patients with HN PC.
We assessed the AR gene copy number by fluorescence in situ hybridization (FISH) and the protein expression levels of AR, Ser210 phosphorylated AR (pAR), ERα and ERβ by IHC (Fig. 1). Of note, the antibody used for ERβ protein detection in this study is specific for the wild-type form (the so-called ERβ1). We evaluated the nuclei of epithelial tumor cells present on the arrayed specimens and applied a scoring system from 0 to 100 representing the percentage of stained tumor cells irrespective of staining intensity (see the Materials and methods section for further details). In addition, for AR, we calculated a composite score that takes into consideration the nuclear intensity (see the Materials and methods section). We found extensive AR expression (maximal score of 100) in all BPHs and in 90% of the PCs, independent of their hormonal treatment status (Table 1). AR mean expression score (percentage) did not vary between the HN and CR PC samples, but consideration of the nuclear staining intensity revealed higher AR protein amounts in the CR PC samples than in the HN PC samples ($P<0.01$, Supplementary Table 6, see section on supplementary data given at the end of this article). Furthermore, the CR PC samples showed a significantly more frequent phosphorylation of the AR protein ($P<0.0001$). The amplification of the AR gene was detected in 42% of the CR PC samples, but was present in only 1% (1 of 109) of the HN PC samples ($P<0.0001$, Table 2). In contrast to the AR, the expression pattern of the ERs in epithelial cells was more multifaceted. The expression of ERβ was detected in 38% of the PC samples (data not shown), but without a diffuse expression of phosphorylated AR (pAR$^{210}$). (D) Example of a CR PC with amplification of the AR gene (red signals).

**Table 1** Overview of the hormone receptor status of the prostate samples on the crTMA. Summary of the expression status of the hormone receptors across different stages of prostate disease.

<table>
<thead>
<tr>
<th></th>
<th>n (BPH/HN/CR)</th>
<th>BPH mean ± s.d.</th>
<th>HN mean ± s.d.</th>
<th>CR mean ± s.d.</th>
<th>P value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR (IHC)</td>
<td>12/106/112</td>
<td>100.0 ± 0</td>
<td>95.0 ± 17.4</td>
<td>94.0 ± 23.0</td>
<td>0.347</td>
</tr>
<tr>
<td>pAR</td>
<td>12/105/111</td>
<td>79.2 ± 18.3</td>
<td>38.9 ± 36.6</td>
<td>62.1 ± 37.4</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>ERα</td>
<td>12/112/110</td>
<td>0.4 ± 1.4</td>
<td>0 ± 0.1</td>
<td>1.4 ± 4.9</td>
<td>0.0008</td>
</tr>
<tr>
<td>ERβ</td>
<td>12/99/109</td>
<td>100.0 ± 0</td>
<td>35.3 ± 39.2</td>
<td>36.8 ± 40.2</td>
<td>0.759</td>
</tr>
</tbody>
</table>

*Wilcoxon Rank Sum test between HN and CR.
differential expression between the HN and CR PC samples ($P=0.759$, Table 1). Interestingly, in a defined set of matched HN and CR samples (see below), ERβ was elevated in the CR PC samples (Supplementary Table 4, see section on supplementary data given at the end of this article). ERα, instead, was only detectable in 9% of the CR PC samples and was completely absent in the HN PC samples ($P<0.0008$, Table 1). We further investigated the co-expression of these hormone receptors (Table 3). As expected, the highest correlation was detected between AR and pAR$^{210}$, both in the HN and CR PC samples.

Hormone receptor status and association with clinico-pathological features

We next investigated the association between hormone receptor status and clinico-pathological features, such as cM and cT stages (Supplementary Table 2, see section on supplementary data given at the end of this article), and Gleason pattern (Supplementary Table 7). The prevalence of AR gene amplification increased significantly with higher cT stage ($P=0.017$, Supplementary Table 2) and ERβ expression was slightly higher in metastatic CR PC samples ($P<0.05$). In contrast, the expression of ERβ and the expression and phosphorylation of the AR in the HN PC samples were strongly associated with a higher Gleason pattern ($P<0.05$ each, Supplementary Table 7). No other relevant associations were found between the expression of the hormone receptors and the cM or cT stage.

Status of hormone receptors in AR-non-amplified and -amplified CR PCs and correlation with tumor cell proliferation

In order to evaluate the impact of the amplification of the AR gene on the presence of the hormone receptors, we stratified the samples from the CR patients based on their AR gene amplification status and analyzed the expression of the hormone receptors in these two groups. Although AR protein expression was observed in most of the PC samples, it was significantly more extensive in the CR PC samples with AR amplification as opposed to those without ($P<0.0001$, Fig. 2). Concordantly, higher prevalence of phosphorylated AR protein was observed in the AR-amplified samples than in the non-amplified ones. In order to evaluate the role of the hormone receptors in tumor cell proliferation, we analyzed Ki67 expression in the same samples (Fig. 3, Supplementary Table 8, see section on supplementary data given at the end of this article). In contrast to the HN PC samples, in the CR PC samples, higher Ki67 staining was significantly associated with a worse outcome ($P=0.0015$, Fig. 3B). This analysis

Table 2 Overview of the androgen receptor gene status of the prostate samples on the crTMA.

<table>
<thead>
<tr>
<th>Status</th>
<th>BPH n (%)</th>
<th>HN n (%)</th>
<th>CR n (%)</th>
<th>P value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>12 (100)</td>
<td>108 (99)</td>
<td>68 (58)</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Amplified</td>
<td>0</td>
<td>1 (1)</td>
<td>49 (42)</td>
<td></td>
</tr>
</tbody>
</table>

*Pearson between HN and CR.

Table 3 Correlation of the expression of the different hormone receptors stratified into HN and CR PC.

<table>
<thead>
<tr>
<th>Hormone naïve</th>
<th>AR (IHC)</th>
<th>pAR</th>
<th>ERα</th>
<th>ERβ</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR (IHC)</td>
<td>1$^{a}$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>pAR</td>
<td>0.30$^{*}$</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ERα</td>
<td>0.03</td>
<td>0.04</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>ERβ</td>
<td>0.17$^{†}$</td>
<td>0.24</td>
<td>0.28</td>
<td>1</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Castration resistant</th>
<th>AR (IHC)</th>
<th>pAR</th>
<th>ERα</th>
<th>ERβ</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR (IHC)</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>pAR</td>
<td>0.41$^{*}$</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ERα</td>
<td>−0.01</td>
<td>0.03</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>ERβ</td>
<td>0.03</td>
<td>0.21$^{§}$</td>
<td>0.04</td>
<td>1</td>
</tr>
</tbody>
</table>

Only significant P values are denoted. $^{*}P<0.0001; ^{†}P=0.009; ^{§}P=0.0006; ^{§}P=0.0013.$ $^{a}$Numbers represent Spearman’s $r$ coefficient.
also revealed the presence of three Ki67 categories with a distinct outcome: low (<5%), medium (5–50%) and high (>50%). However, almost all samples fell into the first two categories (99 and 92% for HN and CR respectively). In these cases, expression of the AR in HN PC, as well as in CR PC, was strongly associated with increased tumor cell proliferation \((P=0.0007\) and \(P=0.006\) respectively). The same was true for the expression of ER\(_\beta\) in the HN PC samples \((P=0.006)\) and to a lesser extent for the amplification of the AR gene \((P=0.049)\). Among the CR PC samples, those with high Ki67 staining (>50%) were characterized by very low levels of AR expression and phosphorylation (Supplementary Table 8).

**Prognostic role of the hormone receptor status**

We next investigated the prognostic role of the hormone receptor status in patients with HN and CR PCs. Overall survival analysis revealed distinct prognostic roles for the hormone receptors analyzed in this study (Fig. 4, Supplementary Table 3). In the HN PC samples, the phosphorylation of the AR as well as the expression of ER\(_\beta\) was significantly associated with a poor overall survival \((P<0.05\) both). In the CR PC samples, only ER\(_\alpha\) protein expression seemed to classify a small group of patients with a distinct (probably better) prognosis. However, a larger number of positive cases are needed in order to properly confirm a potential prognostic role of this finding. Interestingly, the analysis of stromal positivity, which was not a primary aim of this study, revealed additional ER\(_\alpha\) positivity in 19 HN and 21 CR prostate samples (data not shown). The presence of this stromal ER\(_\alpha\) expression was associated with a better overall survival of CR PC patients \((P=0.003)\). We were not able to detect a prognostic role for the expression of the AR protein with the above-applied scoring system (data not shown); probably, the lack of a prognostic effect was due to the large number of AR-positive PCs classified with the maximal score (>90%). Therefore, we included the nuclear staining intensity in the calculation of the AR score (composite score; see the Materials and methods section for more details) and were thus able to perform a more subtle categorization (absent, low, moderate and strong). This four-tiered categorization revealed a poor overall survival for patients with strongly AR-expressing HN PCs \((P=0.02)\). In contrast, in the CR PC patients, the complete absence of AR protein expression characterized a small subgroup of patients with a worst prognosis \((P=0.015)\). If all markers that were

**Figure 2**

Hormone receptor expression and AR gene amplification in CR PC. AR-amplified CR PCs show higher levels of AR and pAR by immunohistochemistry. Error bars denote s.e.m.; *: \(<0.0001\); NS, not significant. Statistical analysis: Kruskal–Wallis Rank Sum test.

**Figure 3**

Overall survival analysis of different Ki67 groups. In castration-resistant (B) but not in hormone-naïve (A) PC, increased tumor cell proliferation (Ki67 expression) is significantly associated with a worse overall survival. Numbers denote the percentage of positive tumor cells.
significant in the univariate analysis (pAR, ERβ, AR, Gleason pattern and ERα, AR, Ki67, and Gleason pattern for HN and CR PCs respectively) were subjected to a multivariate analysis, only the Gleason pattern remained an independent prognostic factor (data not shown).

**Hormone receptor status in a defined set of matched HN and CR samples**

The crTMA used in this study comprised a unique set of 36 matched PC samples from the same patients before and after hormonal ablation therapy. Patient cohort and the resulting hormone receptor status are summarized in **Supplementary Table 4**. The comparison between HN and CR PC samples in the matched specimens revealed results similar to the ones obtained when the complete cohort (231 TURP samples) was considered for the analysis: the amplification of the AR gene in 40% of the CR PC samples (14/35), increase in AR phosphorylation after recurrence, and restriction of epithelial ERα expression to CR PC. Only the ERβ increase in matched CR PC specimens was not detectable in the analysis of the

![Graphs showing overall survival analysis and hormone receptor status.](image-url)
whole cohort. Furthermore, when staining intensity was considered in the expression analysis, it was observed that AR protein levels significantly increased after recurrence.

We further utilized this matched sample cohort in order to investigate a potential correlation between the expression of the hormone receptors in HN PC and the time until recurrence as CR PC after the initiation of hormonal ablation therapy. Intriguingly, higher expression and phosphorylation of the AR were significantly associated with earlier time to recurrence (P<0.05 both, Table 4). This finding is in line with the results from the overall survival analysis (Fig. 4), in which we observed that higher expression or phosphorylation of the AR protein in HN PC patients was strongly associated with a poor overall survival.

### Discussion

Despite the efforts made in basic and clinical research in the last few decades, the mechanisms that drive the progression of HN PC to CR PC have not been completely elucidated. To address these mechanisms, we constructed a tissue microarray (TMA) from TURPs originating from HN (before ADT) and CR (recurrence during ADT) prostate tumors and studied the protein expression of the hormone receptors AR, ERα, and ERβ and, in addition, the phosphorylation and the genomic (amplification) status of AR.

In this study, we showed that AR protein expression is present in almost all HN and CR PCs, whereas genomic amplification is virtually restricted to CR PC. The latter finding is commonly accepted, but the expression patterns of the AR in HN and CR PCs have been controversially discussed in the literature (Linja et al. 2001, MacKenzie et al. 2012). We showed that whereas most of the PC cells, independent of the ADT status, express the AR protein, CR PC cells express it at higher levels. Furthermore, the amplification of the AR gene was associated with very high AR expression. These findings further support the relevance of increasing AR expression as a response to the lower levels of androgens after ADT, possibly in order to sensitize cells to lower ligand concentrations (Koivisto et al. 1997, Waltering et al. 2009, Urbanucci et al. 2012). The high percentage of AR amplification (42%) in CR PC and the positive association with proliferation emphasize the importance of an activated AR pathway in CR disease.

High expression levels of the AR protein in patients treated with radical prostatectomy before ADT have been associated with worse overall and disease-specific survival and shorter time to disease relapse (reviewed in Donovan et al. (2008, 2010) and Hodgson et al. (2012)). We showed a similar finding for patients with locally obstructive HN PC treated by palliative TURP. This was not true for patients with CR PC where we identified a small proportion (n=6) of highly aggressive cancers without detectable AR protein expression. Since three of these six AR-negative CR PCs were classified as neuroendocrine carcinomas, it is very likely that neuroendocrine transdifferentiation in these tumors occurred as a consequence of AR repression (Jongsma et al. 2000, Wright et al. 2003, Yuan et al. 2006).

Whereas the exact functions of the different AR phosphorylation sites have not been elucidated yet, the phosphorylation of serine 210 (pAR<sup>210</sup>) has been suggested to be involved in the progression to castration resistance (Rochette-Egly 2003, Edwards & Bartlett 2005, McCall et al. 2008). In the present study and similar to McCall et al. (2008), we observed an increase in pAR<sup>210</sup> in the progression from HN to CR PC (P<0.01). In addition, we also found a strong correlation between increased pAR<sup>210</sup> and high serum PSA levels in HN PC (P<0.01, Supplementary Table 5, see section on supplementary data given at the end of this article). Our findings suggest that in HN PC, increased pAR<sup>210</sup> levels lead to an activation of AR target genes (such as PSA) and that this phosphorylation might condition tumor cells for survival under active ADT. Concordantly, HN PCs with increased pAR<sup>210</sup> in the matched patient cohort were characterized by a significant shorter time to recurrence. In contrast to McCall et al., we observed an adverse overall survival for patients with increased pAR<sup>210</sup> in HN PC, but not in patients with CR PC. This could be explained by the different inclusion criteria and distinct definition of recurrence: in our study, we did not restrict our HN cohort to samples from patients who subsequently relapsed from CR PC. Furthermore, recurrence was not exclusively defined on rises in PSA levels (biochemical recurrence), but mainly defined on the presence of symptomatic locally obstructive disease under ADT.

### Table 4

<table>
<thead>
<tr>
<th>Correlation coefficient*</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>AR (IHC)</td>
<td>−0.38</td>
</tr>
<tr>
<td>pAR</td>
<td>−0.42</td>
</tr>
<tr>
<td>ERα</td>
<td>–</td>
</tr>
<tr>
<td>ERβ</td>
<td>−0.28</td>
</tr>
</tbody>
</table>

*Correlation coefficient corresponds to Spearman’s ρ.
A further aim of this study was the analysis of the expression of the estrogen receptors ERα and ERβ in the progression to CR PC. Despite the historically prominent role of estrogens as standard treatment for PC, robust data about their expression and impact on progression are scarce (Huggins & Hodges 1972, Cox & Crawford 1995). Whereas little is known about ERα in this context, several findings on the potential good and bad roles of ERβ in PC have been reported. ERβ is mainly being considered as antiproliferative and potentially tumor suppressive, and the loss of ERβ expression has been associated with the progression to castration resistance (reviewed in Bonkhoff & Berges (2009) and Nelles et al. (2011)). This is supported by functional data where ERβ downregulation in PC3 cells resulted in increased migration and invasion (Mak et al. 2010). Furthermore, ERβ has been suggested as an indirect repressor of VEGFA transcription and as an inhibitor of the transition to an invasive phenotype. Interestingly, our data point toward the other direction, at least in the context of progression to CR disease. We showed that increased ERβ expression in HN PCs is associated with a higher Gleason pattern and increased proliferation. In the matched patient cohort (before and during ADT), more than half of the patients (15 of 27) showed a significant increase in ERβ expression after progressing to castration resistance. Furthermore, HN PC patients whose tumors have higher ERβ levels are characterized by a worse overall survival. In contrast to the above-mentioned reports, our data advocate for a more tumor-promoting role of ERβ, at least in the context of progression to castration resistance. Similar findings were described by Nanni et al. (2009); they found an association of ERβ expression with a shorter disease-specific survival in patients with clinically localized HN prostate tumors. Most recently, a positive correlation between ERβ and Cyclin D1, a protein with a known pro-proliferative function, has been described in HN patients (Nakamura et al. 2012). Altogether, these data advocate for a more prominent role of ERβ in the progression to castration resistance as thought previously. Whereas ERβ signaling might be protective at an early stage of prostate carcinogenesis, a switch during progression cannot be precluded. In this context, it is also worth discussing the benefit of using phytoestrogens, a group of plant compounds with structural similarity to 17β-estradiol, in patients with ERβ-positive PC. Further studies are needed in order to properly define the potential use of specific ERβ antagonists or inhibitors during progression to CR disease in patients characterized by a high expression of ERβ protein.

There are only a few controversial reports on the role and expression of ERα protein in PC (Bonkhoff et al. 1999, Leav et al. 2001, Celhay et al. 2010). Whereas Bonkhoff et al. (1999) described an increased ERα protein expression with higher Gleason grade and highest expression in hormone-refractory carcinomas, others were only able to detect its expression in very few carcinomas (Leav et al. 2001). Most recently, Celhay et al. (2010) did not find a differential expression of ERα between HN and CR PCs, but reported a survival advantage for ERα-positive CR PC patients. Here, we detected epithelial ERα expression in 1% (1/112) and 12% (13/109) of the HN and CR PC samples. This differential expression between HN and CR PC samples was less pronounced if ERα positivity of both the stromal and epithelial compartments was included in the analysis (18 and 30% of the HN and CR PC samples respectively). The latter stratification revealed a significantly better outcome for CR PC patients with either stromal or epithelial ERα expression. However, larger numbers of specimens and especially functional and molecular studies are needed in order to decipher the roles of ERα, its underlying signaling mechanism in the epithelial and stromal cells of PC tissue and its impact on tumor growth.

In summary, we showed that ERα and pAR210 are differentially expressed in HN and CR PCs and that high levels of AR, ERβ and pAR210 in HN PC correlate with a poor clinical outcome. We further identified a subpopulation of AR-negative CR PC patients with a highly aggressive behavior. Further studies including the genomic and transcriptomic analyses of matched PC samples (HN vs CR PC) will provide novel insights into the underlying pathways important for sustaining tumor growth in CR PC.

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**Supplementary data**
This is linked to the online version of the paper at http://dx.doi.org/10.1530/ERC-12-0402.

**Declaration of interest**
The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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