The state-of-play and future of platinum drugs

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Abstract

The year 2015 marks the 50th anniversary since the discovery of the anticancer potential of cisplatin and it remains just as useful now as it did back then, especially for the treatment of some endocrine-related cancers like ovarian and testicular carcinomas. Since its discovery, five other platin drugs have received approval in various countries. While several new platin drugs are in preclinical development, in the last decade only two new platin drugs have entered clinical trials, LA-12 and dicycloplatin, reflecting a shift in research focus from new drug design to improved formulations of already approved platin drugs. These formulations include their encapsulation with macrocycles to slow and prevent their degradation by proteins and peptides; their attachment to nanoparticles to passively target solid tumours through the enhanced permeability and retention effect and their coordination to important nutrients, proteins, antibodies and aptamers for active tumour targeting. These formulation methods have all shown potential but none have yet yielded a new marketable medicine containing a platin drug. The reasons for this are problems of consistent drug loading, controlling the location and timing of drug release and the inherent toxicity of some of the drug delivery vehicles. In addition to drug delivery, functional genomics is now playing an increasing role in predicting patients' responses to platin chemotherapy and their likelihood of experiencing severe side effects.

Key Words

- cancer
- cisplatin
- formulation
- drug delivery
- functional genomics

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Introduction

While cisplatin was first synthesised in 1844, the anticancer potential of cisplatin was not discovered by accident until 1965 (Kauffman *et al.* 2010). It was approved for use in 1978 and since then another 25 platin drugs have entered clinical trials, with two, oxaliplatin and carboplatin, gaining broadly worldwide approval and another three gaining approval in single markets; nedaplatin in Japan, lobaplatin in China and heptaplatin in Korea (Wheate *et al.* 2010) (Fig. 1).

Despite a claim that 50–70% of all patients receive a platinum drug (Sava & Dyson 2006), which has been repeated by others (Harper *et al.* 2010, Zalba & Garrido

2013), there is no evidence on how many patients actually receive these drugs. Only the overall number of chemotherapy regimens that include a platin drug is known with any accuracy; based on Martindale and the Australian EviQ database, ~50% of all chemotherapy schedules include a platinum drug (Wheate *et al.* 2010). Platinum drugs are used to treat a wide variety of cancers, including some endocrine-related cancers: testicular and ovarian carcinomas. They are also used to treat melanoma, small-cell and non-small-cell lung cancer, myelomas and lymphomas.

The generic names of all platinum-based drugs end with 'platin', and henceforth, this abbreviation will be

Figure 1

The structures of the approved platin drugs, showing the carrier a(m)mine ligands in red and the labile chloride or carboxylate-based ligands in green. All platins act as prodrugs, requiring replacement of their labile ligands with water before they are able to bind and disrupt the function of DNA.

used in this review to refer to platinum drugs in general. The beginning of platinum drug names are based on the configuration of the drugs' ligands (e.g., cisplatin and transplatin refer to the different chirality of the chloride ligands) or can come from the name of the ligands used (carboplatin contains a dicarboxylate ligand, oxaliplatin contains an oxalate ligand and triplatin contains three platinum cations).

Platin drugs contain two types of ligands attached to a central platinum cation. These are amine or ammine carrier ligands and labile chloride or carboxylate ligands (Fig. 1). To bind their target, nuclear DNA, platins act as prodrugs and require aquation of their labile ligands within cancer cells so that they can form bonds with the N7 atom on guanine and adenosine bases. Such binding stops DNA replication and transcription, which then initiates cellular apoptosis (Dasari & Tchounwou 2014).

Despite their success, platin drugs have two main limitations: their severe side effects and the ability of cancers to develop drug resistance. The side effects arise from their indiscriminate attack on all rapidly dividing cells. Common side effects of platin drugs include nausea and vomiting, diarrhoea, myelosuppression, neuropathy, ototoxicity, hepatotoxicity and nephrotoxicity. These side effects can be so severe that they limit the dose that can be administered to patients (Ruggiero *et al.* 2013). As such, many tumours are treated with sub-lethal doses of platin drug, thus giving the cancers a chance to develop resistance.

Platin resistance arises via four main mechanisms: reduced cellular uptake and upregulated drug efflux from cells, increased degradation and detoxification of the

drugs inside the cells by glutathione, reduced formation of drug-DNA adducts and an increased tolerance or repair of the damaged DNA (Galluzzi *et al.* 2012).

New platin drugs continue to be developed, with two drugs currently under commercial preclinical development and two other drugs undergoing clinical trials. These drugs are largely designed to be either more cytotoxic to cancer cells compared with cisplatin and/or to be able to overcome cisplatin drug resistance. Alongside drug design, a lot of research into platins in the last 15 years has focused on new formulations that can either prevent drug degradation or better target tumours via passive or active mechanisms.

Oncology is now entering the era of personalised medicine, where doctors will select the best treatment for each patient after extensive genotyping of both the patient and the tumours. Such screening allows doctors to select the drugs that are most likely to be effective for each individual patient and, just as importantly, be able to predict the severity of the side effects the patient is likely to experience. Platins are not being left behind and research is now being undertaken to use functional genomics to inform drug selection.

In this paper we review the current status of platin drugs with a focus on both the development of new small molecule agents as well as new formulations to improve drug stability and targeting with the advantages and limitations of each system discussed. Finally, the state-of-play of functional genomics in platin drug selection for patients is examined, focusing on what has already been done and where we think it will develop in the future.

New drugs in preclinical development and under clinical evaluation

Dicycloplatin

Dicycloplatin is a reformulation of the drug carboplatin by Sopo-Xingda Pharmaceutical and Bioplatin AG and is currently under clinical trial evaluation in China. The formulation contains an extra carboxylate ligand that is hydrogen-bonded to the drug in the solid state (Fig. 2). When dissolved in water, the extra ligand makes carboplatin more stable by slowing the rate at which the drug is aquated.

The first human trials of dicycloplatin were completed in 2003 with phase I trials indicating a safety profile similar to carboplatin. The maximum tolerated dose is 550 mg/m², with a recommended dose of 450 mg/m² once every three weeks (Yu *et al.* 2014). In comparison, carboplatin is delivered in a dose between 300 and 450 mg/m² every three to four weeks depending on the treatment regimen used (Brayfield 2015).

The results of one phase II trial of dicycloplatin have been reported. In a double-blinded trial comparing dicycloplatin and paclitaxel against carboplatin and paclitaxel in non-small cell lung cancer, the results indicate that there was no significant difference in patient outcomes between the two trial arms (Liu *et al.* 2014). There is a claim to another phase II trial completed around

2003 for small cell lung cancer (reference 7 in (Yu *et al.* 2014)), although there is no mention of this paper on the journal's website.

LA-12

This drug is a platinum(IV) prodrug that requires intracellular reduction to platinum(II) by glutathione and ascorbate (Wexselblatt & Gibson 2012), as well as aquation of its chloride ligands, before it can bind DNA (Fig. 2). The high activity of the drug is partially attributed to its lipophilic ligands. These include the adamantylamine carrier ligand, which is similar in chemical structure to the approved Alzheimer's drug, memantine, and the drug's axial acetate groups that are released upon reduction to platinum(II) (Kozubík *et al.* 2005). While the drug has entered clinical trials, there are as yet no published findings from phase I or phase II, although there is some data correlating serum protein binding in animal models to samples taken from patients (Bouchal *et al.* 2011).

Phosphaplatins

This is a family of platinum drugs under preclinical development by Phosplatin Therapeutics (USA). These drugs are based on the structures of cisplatin and

Figure 2

The chemical structures of the platinum drugs under preclinical and clinical development. For dicycloplatin, the original carboplatin drug molecule is indicated in blue with the additional carboxylate-stabilising ligand shown in green. The hydrogen bonds of dicycloplatin that hold the free ligand to the drug are shown as dashed lines. Also shown is the active component of

LA-12 after the loss of its axial ligands (shown in red) when it is reduced from platinum(IV) to platinum(II) within cancer cells. A * indicates a chiral centre: either *R* or *S*. Counter ions for phenanthriplatin are not shown but are potentially chloride or nitrate.

oxaliplatin where the labile ligands of the parent drugs have been replaced by a single pyrophosphate ligand (Fig. 2). Unlike normal platins, these drugs don't appear to act by binding to DNA and the phosphate ligands remain bound to the drug even after prolonged periods (Moghaddam *et al.* 2011). The drugs still induce cellular apoptosis, although they are specific to the S and G2 phases of the cell cycle and are highly active both *in vitro* and *in vivo* against human cancer cell lines that are both sensitive and resistant to cisplatin (Bose *et al.* 2008). The phosphaplatins are also significantly less toxic compared with cisplatin. When administered via an i.v. infusion, the maximum tolerated dose of one phosphaplatin, ((1*R*,2*R*-diaminocyclohexane) dihydrogen-pyrophosphate-platinum(II)), was at least sixfold higher than cisplatin (Moghaddas *et al.* 2012).

Phenanthriplatin

Phenanthriplatin, under preclinical development by Blend Therapeutics (USA), is based on the structure of cisplatin where one chloride ligand of cisplatin has been replaced with phenanthridine (Fig. 2). As the drug has only one labile ligand, it is only capable of forming monofunctional adducts with DNA. As a result, the drug distorts the DNA structure to a much lower extent compared with cisplatin, but despite this, is still effective in inhibiting DNA transcript by blocking the action of RNA polymerase II (Kellinger *et al.* 2013). Phenanthriplatin has both higher cellular uptake compared with cisplatin and is up to 40-fold more cytotoxic, as determined with an *in vitro* panel of 60 National Cancer Institute human cancer cell lines (Johnstone *et al.* 2014, Park *et al.* 2012).

Macrocycle drug delivery

Drug delivery for platins is specifically focused on solving either of two problems, i) rapid protein binding in blood serum and intracellular degradation by glutathione, or ii) the drugs' poor selectivity for cancerous tissue over normal tissue. The problem of protein binding and peptide-mediated degradation can in part be solved through the encapsulation of the platinum drugs within macrocycles. A macrocycle is a short polymer that has ring-closed during synthesis to form a single loop structure. Macrocycles usually contain a hydrophobic cavity that can be accessed through one or more portals. The encapsulation of drugs in the cavity is controlled through hydrophobic effects as

well as hydrogen bonds and/or ion-dipole bonds at the portals.

There are three main families of macrocycles relevant to the delivery of platinum-based drugs. These include cucurbit[*n*]urils, *n*-cyclodextrins and calix[*n*]arenes, where *n* indicates the number of subunits that make up the macrocycles (Fig. 3).

Cucurbit[*n*]urils

Cucurbit[*n*]urils, abbreviated as CB[*n*], are the most studied family of macrocycles with platinum-based drugs. The macrocycles are made by reacting glycoluril with formaldehyde in concentrated acid solutions (Assaf & Nau 2014). The product is a mixture of different sized cucurbit[*n*]urils with between five and 14 subunits. While not highly soluble in pure water, they become soluble upon forming host-guest complexes with drugs and in solutions with high salt concentrations, such as blood serum, and gastric and nasal fluids (Walker *et al.* 2011). Cucurbit[*n*]urils are relatively non-toxic (Oun *et al.* 2014) and to date have been formulated into oral tablets, topical creams and eye drop solutions (Walker *et al.* 2010, Chu *et al.* 2014, Seif *et al.* 2014). For drug delivery the homologues of six, seven and eight subunits are of most importance as these have a cavity that is ideally sized to store and release platins.

In total, more than 15 platinum-based compounds have been examined with cucurbit[*n*]urils, including the clinically important drugs cisplatin, oxaliplatin and triplatin (Wheate 2008). Cisplatin binds into the cavity of CB[7] so that the chloride ligands project into the cavity of the macrocycle. Such binding is stabilised by multiple hydrogen-bonds from the drug's ammine hydrogens to

Figure 3

The basic chemical structures of the unfunctionalised macrocycle families that have shown potential as drug delivery vehicles for platins. The *n* denotes the number of subunits that make up the macrocycles; six to eight for cucurbiturils and cyclodextrins and four for *p*-sulfonatocalixarene. For calix[*n*]arenes, R can be a variety of groups, but for drug delivery it is typically an anionic SO₃ group.

the CB[7]'s oxygens at its portals (Plumb *et al.* 2012). Binding in this way greatly reduces cisplatin's rate of reaction with proteins and peptides and *in vivo* makes the drug statistically more effective in treating tumour xenografts, including xenografts resistant to cisplatin (Plumb *et al.* 2012).

Oxaliplatin forms host-guest complexes with CB[7] in such a way that the hydrophobic diaminocyclohexane ring is located within the macrocycle with the labile oxalate ligand protruding from one portal. The result is a much more stable formulation of the drug in both the solid and solution states, and which is 15-fold less reactive with methionine compared with normal oxaliplatin (Jeon *et al.* 2005).

The use of cucurbit[*n*]urils as protective agents has also been explored for the multinuclear platinum drug triplatin. This drug has three platinum atoms joined via two diaminoalkane ligands. When encapsulated by cucurbit[*n*]urils, they form a 2:1 CB[*n*]-to-drug host-guest complex, where the macrocycles are located over the bridging ligands. Importantly, the cytotoxicity and toxicity of triplatin can be tuned by varying the size of the cucurbit[*n*]uril used (Wheate 2008). Similar to cisplatin and oxaliplatin, encapsulation of triplatin and other multinuclear platins by cucurbit[*n*]urils significantly reduces their reactivity, particularly with thiol-containing peptides (Bali *et al.* 2006, Zhao *et al.* 2009, Goldoni *et al.* 2010).

n-Cyclodextrins

Cyclodextrins are a family of oligosaccharide macrocycles approved for use in pharmaceutical dosage formulations (Rowe *et al.* 2012). Examples of medicines that include *n*-cyclodextrins as delivery vehicles include Bridion, Zeldox IM and Movectro. While cyclodextrins come in sizes of up to ten subunits, it is the homologues that contain six, seven or eight subunits, designated α -, β - and γ -cyclodextrin, respectively, that are used most widely in drug delivery (Davis & Brewster 2004). All are soluble in water, although β -cyclodextrin is nephrotoxic and is not used in i.v. formulations. Every *n*-cyclodextrin contains a central cavity that is accessible through two portals. Unlike cucurbit[*n*]urils, the portals of cyclodextrins are not symmetrical; they contain one major portal and one minor portal. One favourable characteristic of *n*-cyclodextrins, is the ease with which they can be functionalised. Groups to change their lipophilicity/hydrophilicity, or cancer targeting groups, are easily attached using standard chemical techniques.

A number of *n*-cyclodextrin host-guest complexes have been reported with platin compounds (Prashar *et al.* 2011, Zhao *et al.* 2014), although only one studied the ability of the macrocycle to slow platin drug degradation (Krause-Heuer *et al.* 2008). The partial encapsulation of three different platin agents by a carboxylated form of β -cyclodextrin slowed their degradation by glutathione by at least threefold.

Calix[*n*]arenes

Calix[*n*]arenes are a family of truncated bowl shaped macrocycles of *para*-substituted phenol monomers linked by methylene bridges. The hydrophobic cavity is accessible through only one portal as the bottom of the macrocycle is closed off by extensive hydrogen bonding between the phenol hydroxide groups. Native calix[*n*]arenes are soluble only in organic solvents with only one water soluble derivative known; *p*-sulfonatocalix[*n*]arenes, where $n=4-8$ (Guo & Liu 2014). An additional benefit of the negative charges of the sulfate groups, beyond making the macrocycle water soluble, is that they help to form host-guest complexes with positively charged drugs. *p*-sulfonatocalix[*n*]arenes are relatively non-toxic and have shown considerable potential in drug delivery (Coleman *et al.* 2008).

There are only a few studies into the application of calix[*n*]arenes as delivery vehicles for platin drugs. One study directly attached the active component of cisplatin to a carboxylate functionalised calix[*n*]arene (Pur & Dilmaghani 2014), whilst all other studies have examined the host-guest formation of platins with *p*-sulfonatocalix[4]arene.

The nature of the host-guest complexes formed with calix[*n*]arenes are dependent of the type of platin agent used. For mononuclear complexes a unique 2:2 complex is formed, where two platin molecules stack on top of each other and where each of their ends are covered by a calixarene molecule. The result is a supramolecular complex that resembles a molecular medicine capsule that is highly efficient at preventing drug degradation by glutathione (Krause-Heuer *et al.* 2008).

In contrast, dinuclear platinum agents form 1:1 host-guest complexes where the bridging ligand is located within the cavity of the calixarene and the platinum groups are located at the portal where they form ion-dipole and hydrogen bonds with the sulfate groups (Wheate *et al.* 2009, Brown *et al.* 2012). In this configuration, the calix[*n*]arene provides no steric protection for dinuclear platins from glutathione attack and, as such, is

not useful for slowing drug degradation. Calix[n]arenes therefore are most suitable for mononuclear platinum drugs, like oxaliplatin.

Passive drug targeting

As discussed, other than drug sequestration and degradation by proteins and peptides, the second major problem of platins is their poor selectivity for cancerous tissue compared with normal tissue. One solution to this problem is the development of nanoparticle formulations. Such formulations are able to better target cancerous tissue due to the enhanced permeability and retention (EPR) effect. The EPR effect is a function of the rapid growth of solid cancers where they develop large gaps between the endothelial cells, which trap and retain nanoparticles; these gaps are not present in normal tissue (Fang *et al.* 2011, Torchilin 2011). There are a variety of scaffolds that can be utilised as nanoparticle delivery vehicles for platins, including micelles and liposomes, some polymers, metallic nanoparticles and carbon nanotubes.

Micelle and liposome formulation

One of the most successful methods of nanoparticle formulation for chemotherapeutic drug delivery are micelles and liposomes. Examples of successful drugs using liposomal formulations are doxorubicin (Doxil) and vincristine (VincaXome).

Aroplatin and SPI-77 are liposomal formulations of platinum drugs that underwent clinical trials but did not receive subsequent marketing approval for human use. While the development of Aroplatin was stopped for purely economic reasons, SPI-77 failed clinical trials due to a lack of efficacy in phase II patients. The reason for the lack of effectiveness was determined to be the slow and inefficient release of cisplatin from the liposome (Wheate *et al.* 2010).

LiPlaCis

The failure of SPI-77 led to the design of liposomal formulations of platinum drugs being developed by Liposome Pharma, where the release of the drug could be triggered through intracellular mechanisms. One example is LiPlaCis a liposomal formulation of cisplatin encapsulated in pro-anticancer ether lipids. This liposome is designed to release cisplatin inside cancer cells upon its degradation by the secretory phospholipase A2 (sPLA₂) enzyme (Jensen *et al.* 2004). This enzyme is overexpressed

in many different types of cancers and therefore provides some specificity for tumour tissue over normal tissue. A similar formulation that contains oxaliplatin instead of cisplatin is called LiPloxa.

LiPlaCis has undergone only one clinical trial. In the study, the drug was given as an i.v. infusion over one hour, every three weeks in advanced breast cancer patients (de Jonge *et al.* 2010). Unfortunately, the study ended early as the results demonstrated no benefit in the dose or toxicity profile when compared with normal cisplatin. The study concluded that LiPlaCis needed reformulation if it was to be effective (de Jonge *et al.* 2010).

Despite this, the clinical trials database of the U.S. National Institutes of Health (NIH) lists a current phase I trial for LiPlaCis, which was last updated in March 2015. This study is also a dose-escalating safety/efficacy study in patients that preferably have advanced breast cancer. The study is due to be completed in June 2016.

Lipoplatin

Lipoplatin is a liposomal formulation of cisplatin under development by Regulon AE. Compared with cisplatin it has higher uptake into tumours *in vivo* and has significantly fewer and less severe side effects. It has previously undergone numerous clinical trials, including two phase III studies looking at its efficacy in the treatment of squamous cell carcinoma of the head and neck, and for non-small cell lung cancer (Stathopoulos & Boulikas 2012).

Three current phase III studies are currently listed as ongoing in the European Clinical Trials database. The first is a study in combination with paclitaxel for the first-line treatment of advanced ovarian cancer. The other two studies are in combination with gemcitabine for the first-line treatment of inoperable and either locally advanced or metastatic pancreatic cancer. The ovarian cancer study started in 2006 but no peer-reviewed results have been reported for this trial. One of the pancreatic studies (LipoGEM-PII-1L-Pancr) started in 2012 but appears to be a continuation of an earlier pancreatic study started in 2009.

Polymers

Polymer-based nanoparticles for the delivery of platinum drugs can come in a variety of forms from polydispersed linear polymers that roughly roll up into a nanoparticle shape, to the high ordered and monodispersed polymers called dendrimers.

Figure 4

The structure of the platinum polymer formulation, ProLindac, that yields the same active component as oxaliplatin (shown in red) in the low pH environment of cancer cells.

ProLindac

ProLindac is a polymer formulation of platinum that yields the same active component as oxaliplatin inside of cancer cells (Fig. 4). It's made from the highly hydrophilic and biocompatible polymer hydroxypropylmethacrylamide. Attachment of the platinum to the polymer is stable at blood serum pH, but upon entering the lower pH environment of a cancer cell the platinum drug is slowly aquated and goes on to bind DNA. ProLindac has undergone a number of phase I and II trials and while it is no longer listed as a drug under development by Abeona Therapeutics (formerly Access Pharmaceuticals) an ongoing phase III clinical trial for the treatment of head and neck cancers remains listed on the NIH clinical trials database.

Dendrimers

Dendrimers are highly branched synthetic polymers that are made using a step-by-step reaction and are highly useful in drug delivery (Rolland *et al.* 2009, Tekade *et al.* 2009). They can be synthesised in a variety of sizes, often referred to as generations, which represent the number of branching points in the polymer from the central core (Tekade *et al.* 2009). They are able to bind drugs in a variety of ways, including electrostatic interactions, pocket binding and chemical tethering to the dendrimer surface.

For platinum the most studied and useful are the polyamidoamine (PAMAM) dendrimers. Full generation PAMAM dendrimers have amine surface groups that can be used to irreversibly bind platinum, thus using the dendrimer as part of the structure of the drug rather than

as a delivery vehicle (Kapp *et al.* 2010). Half-generation PAMAM dendrimers have carboxylate surface groups and can bind cationic platinum drugs via electrostatic interactions (Pisani *et al.* 2009). Alternatively, the carboxylate groups on the surface of dendrimers can be used to tether the platinum. Upon aquation inside cancer cells, the dendrimer releases the active component of cisplatin or oxaliplatin (Haxton & Burt 2008, Kirkpatrick *et al.* 2011, Nguyen *et al.* 2015).

The major problem of dendrimers as nanoparticle delivery vehicles for platinum is the potential irreversible binding of drugs to the branches of the polymer. This can occur where there are accessible amine groups which are common in PAMAM dendrimers (Kirkpatrick *et al.* 2011). Such binding, which increases over time, can make it difficult to predict the releasable dose of drug and hence could result in ineffective patient treatment. To overcome this, dendrimers without amine groups within the branches have been synthesised. Two examples are ester and thiol-based dendrimers with terminal hydroxyl or carboxylate groups that can be used as drug delivery vehicles (Chen *et al.* 2009).

Proteins

A major protein found in blood serum, which can potentially act as a delivery vehicle for platinum, is transferrin. This protein is useful as a delivery vehicle because many cancer types overexpress transferrin receptors on their cell surfaces. Transferrin is responsible for transporting iron around the body and has two high affinity Fe^{3+} sites. When the protein is bound to two iron atoms it is called holo-transferrin and when not bound by iron is called apo-transferrin. Drug delivery studies of cisplatin have been undertaken with both forms of the protein.

Cisplatin can bind to the hydroxyl group of the threonine 457 residue, which is located in the iron binding pocket (Khalaila *et al.* 2005), although other binding sites are thought to exist. As such, cisplatin binds the protein competitively with iron, and a higher loading of cisplatin is achieved with apo-transferrin (22 cisplatin molecules per protein) compared with holo-transferrin (15 cisplatin molecules per protein) (Hoshino *et al.* 1995, Luo *et al.* 2012).

It appears, however, that cisplatin binding to transferrin affects the shape of transferrin as there is a correlation between the number of bound cisplatin molecules and the ability of transferrin to bind to its cellular receptor. As the number of drug molecules

increases from three to seven the binding strength to the protein receptor drops, and with 15 attached cisplatin molecules, transferrin loses all binding potential with the receptor (Hoshino *et al.* 1995).

Metal nanoparticles

Nanoparticles made from metals, such as gold, platinum or iron oxide, can be produced in a variety of shapes, including spheres, rods and pyramids, and bowls. All of these have shown potential as drug delivery vehicles and in other medical applications such as diagnostics and photothermal therapy (Dykman & Khlebtsov 2012). Gold and iron oxide nanoparticles have both been examined as delivery vehicles for platin drugs.

While platin drugs have been loaded onto the surface of gold nanoparticles directly (Sanchez-Paradinás *et al.* 2014), this binding is weak and likely results in the considerable loss of drug upon entering the high salt environment of blood serum. Instead, platin drugs are usually tethered to the nanoparticle surface using thiol-based chemical linkers. On solid gold nanoparticles thiols are known to bind strongly to the surface and in the process form monolayers. The use of dithiols in the linker can further strengthen the bond of the tether to the nanoparticles (Craig *et al.* 2012). Use of a carboxylate functional group on the other end of the tether facilitates attachment of a platin drug (Brown *et al.* 2010). Alternatively, a unique tethering method has been devised where a thiol-modified cyclodextrin is attached to the surface of the gold nanoparticle (Fig. 5). The platin drug is

Figure 5

A schematic diagram showing a unique method of attaching a platin drug to the surface of gold nanoparticles. Cyclodextrin macrocycles (red), which have been modified with thiol-groups on the minor portal, form a monolayer on the surface of the gold (yellow). The platin drug is held to the cyclodextrin through an adamantane ligand (black). Upon entering the cells, the platin is reduced from platinum(IV) to platinum(II), thus releasing cisplatin (green).

modified with an adamantane ligand, which forms a host-guest complex with the cyclodextrin by binding within its cavity (Shi *et al.* 2013).

Iron oxide nanoparticles are also of particular interest for use in platin drug delivery. As iron remains susceptible to magnetic fields in its oxidised state, such nanoparticles can be actively transported to solid cancers in the body using external magnetic fields (Wagstaff *et al.* 2012). While the iron oxide nanoparticle may be reactive in the human body and break down, it can be pacified by the addition of a gold coating. Such a coating does not affect the magnetic properties of the iron oxide (Wagstaff *et al.* 2012).

Gold- and gold-plated nanoparticles do have some disadvantages as delivery vehicles (Craig *et al.* 2012). First it can be difficult to control accurately the size of the nanoparticles. Many of the methods used to synthesise the nanoparticles result in a bell distribution of particles that can vary in size significantly. Another problem is the scale on which the nanoparticles can be synthesized; typically in the nanomolar, and at best micromolar, concentration ranges. Stability of the nanoparticles can also be a problem. Gold nanoparticles can agglomerate in solution, which has two effects: a growth in the size of the nanoparticles and reduced suspension stability. Finally, the loading of platin drug onto the nanoparticles can vary, with batch-to-batch variability of loading of up to 30%.

Carbon nanotubes

Carbon nanotubes are an allotrope of carbon and are long, cylinder-like molecules. Carbon nanotubes are passively selective for cancer cells due to their size; they can have lengths between 10 and 1000 nm. How they are taken up by cells is not completely understood and is thought to comprise a mixture of endocytosis and needle-like entry (Fabbro *et al.* 2012).

Carbon nanotubes can deliver platin drugs in three different ways: i) the drug can be stored within the cavity of the nanotube, ii) the drug can be directly attached to the surface of the nanotubes that have been functionalised with carboxylic acid or amine groups, or iii) the drug can be attached through the use of a chemical tether (Fig. 6).

In open-ended carbon nanotubes, platin drugs can be loaded into the cavity of the tubes through simple diffusion (Fig. 6A). If carbon nanohorns are used (carbon nanotubes with rounded ends that cap the particles), then holes can be created in the tubes by heating them up to 500 °C before the drug is loaded (Ajima *et al.* 2006). Release of platins from the nanotubes is controlled either through diffusion or through the use of iron nanoparticle caps

Figure 6

The four methods of attachment of platinum drugs (red) to carbon nanotubes showing (A) cisplatin encapsulation within the cavity, (B) coordination to surface carboxylate groups, (C) coordination to

surface amine groups through the formation of a peptide bond (blue) and (D) through a tether that holds the platinum to the surface of the carbon nanotube through hydrophobic effects (green).

(Panczyk *et al.* 2013). Modelling has shown that a variety of platins can be encapsulated inside the cavity of nanotubes, including cisplatin, nedaplatin, carboplatin and oxaliplatin (Mahdaviyar & Moridzadeh 2014). Whilst either single or multi-walled carbon nanotubes can be used (Li *et al.* 2014), the radius of the tubes does affect both the loading and release characteristics of the platins (Mejri *et al.* 2015). A minimum radius of 4.8 Å is needed to accommodate cisplatin, with 5 Å the ideal radius (Hilder & Hill 2007).

Alternatively, platins can be directly attached to the surface of functionalised carbon nanotubes. Where the tubes have been synthesised with surface carboxylate groups (Fig. 6B), attachment of the platinum drugs can be

achieved through direct coordination (Bhirde *et al.* 2009). In this case, release of the drug is dependent on aquation inside of cancer cells. If the carbon nanotubes have been functionalised with amine surface groups, then a platinum(IV) drug with carboxylate ligands can be attached through the formation of an amide bond (Fig. 6C). Drug release is then achieved when the platinum is reduced from platinum(IV) to platinum(II) inside the cancer cell (Dhar *et al.* 2008a,b).

Finally, platinum drugs can be attached to carbon nanotubes through the use of a tether (Fig. 6D) held to the surface of the tubes through hydrophobic effects (Feazell *et al.* 2007). Similar to the amide attached platinum

Figure 7

Examples of two complexes where a platinum drug has been coordinated to an essential nutrient to increase the selectivity of the platinum for cancer cells showing (A) the active component of cisplatin (red) attached to folic

acid and (B) the use of chemically modified estradiol to permanently incorporate a cisplatin-like drug (green) into its structure, where $x=2, 4, 6$ or 8 and $y=1$ or 2.

(C) described above, the drug is released within cancer cells when it is reduced to platinum(II).

There are some safety problems with carbon nanotubes, however, that could make them unsuitable as delivery vehicles for platins. For example, because they are so small, carbon nanotubes can become easily airborne and be breathed in by health staff and patients (Pulskamp *et al.* 2007). In the lung, they can then potentially act in the same manner as asbestos, given their similar size and needle-like shape, causing inflammation and lesions that can then develop into mesothelioma; a cancer of the lining of the lungs (Poland *et al.* 2008).

Active drug targeting

An alternative method to passive targeting of platin drugs to cancerous tissues is the use of active targeting agents. These agents selectively recognise and bind to proteins and peptides on the surface of cancer cells. Some receptors can be for essential nutrients needed for the growth of the cancer. In these instances, the receptors are overexpressed on cells, but are not specific for cancer cells and thus provide pseudo-active targeting. For other receptors, these may be unique to individual cancer cells, and thus, their targeting provides a very selective method of drug delivery.

Substrates and nutrients

Platins have been tethered to a range of substrates and nutrients, including vitamins, steroids, amino acids and sugars. Folic acid is a vitamin used by cells to synthesise nucleobases for the production of DNA, and hence, is essential for cell proliferation. Many cancerous cells over-express folate receptors on their surface to ensure a high supply of folic acid. Therefore, folate can be used as a pseudo-selective targeting agent for many different types of cancers (Xia & Low 2010). Folic acid contains two carboxylic acid groups that can be directly coordinated to the active components of cisplatin and oxaliplatin (for an example, Fig. 7A). Alternatively, cisplatin has been conjugated to folic acid through the use of a PEG spacer (Aronov *et al.* 2003). In either case, aquation of the drug inside the cell yields the active component of cisplatin. Folate has also been attached to the surface of different delivery vehicles, like carbon nanotubes and micelles, for the delivery of platins (Dhar *et al.* 2008a,b, Eliezar *et al.* 2015).

Estrogens are a family of hormones of particular importance in the treatment of several types of cancer. Estrogen receptors are highly expressed in breast (60–70%), uterus (70–73%) and ovarian (60%) cancers, and

as such, provide another pseudo-selective target for platin drugs (Gagnon *et al.* 2004). Of particular interest for platin drug delivery is 17 β -estradiol. There are no suitable functional groups on estradiol for the direct attachment of a platin drug and so it has been chemically modified to provide accessible amine groups (Fig. 7B) (Descoteaux *et al.* 2003). As the platin is coordinated via amine ligands, the estradiol is not removed during aquation and thus remains a permanent part of the drug upon its binding to DNA (Gagnon *et al.* 2004).

Antibodies and peptides

While nutrients can give platins some selectivity for cancerous cells over normal cells, but may not result in improved effectiveness if the nutrient-drug complex is unable to penetrate into the cell after receptor binding. Higher selectivity for cancer cells can be achieved using antibodies and short peptides, especially cell penetrating peptides.

A recent study has successfully conjugated a cisplatin-like platin to the monoclonal antibody-based drug trastuzumab, which recognises and binds HER2 receptors that may be present on breast cancers (Waalboer *et al.* 2015). By varying the linker used to tether the platin to the antibody, it was found that 2–3 drug molecules could be attached but that this affected the stability of the antibody-drug complex. Importantly, tethering of platinum to the antibody did not affect the immunoreactivity of the antibody.

A range of different peptides have been discovered that can be used to improve drug uptake into cells. The 12 residue peptide (TMGFTAPRFPHY), called PH1, is selective for the tyrosine kinase-based Tie2 receptor that is over-expressed on a number of different cancer cell lines. It has been tethered to the surface of cisplatin containing liposomes to improve the drug's selectivity for cancerous tissue (Mai *et al.* 2009).

Integrin receptors can be targeted by peptides that contain Arg-Gly-Asp (RGD) sequences in their structure. A platinum(IV) derivative of picoplatin has been tethered to cyclic and RAFT versions of RGD (Massaguer *et al.* 2015). An 11 residue fragment of the TAT protein (YGRKKRRQRRR), derived from HIV-1, is useful for carrying drugs across cell membranes. A platinum(IV) derivative of oxaliplatin has been tethered to a TAT-fragment using solid-phase chemistry. Either one or two platins can be tethered to a single peptide and both are more active in cancer cells compared with oxaliplatin complexes without the peptide (Abramkin *et al.* 2012).

Unfortunately, the tethering of platins to antibodies and peptides is synthetically non-trivial. There are many amino acid functional groups within antibodies to which the platins can attach. For instance, they can reversibly bind to aspartic acid and glutamate residues via their carboxylate groups and irreversibly bind to any amino acid residue that contains accessible amine groups, e.g. arginine, histidine, lysine and tryptophan. Sulphur-containing amino acids, such as methionine and cysteine also provide a target for platins. Regardless of which amino acids become bound by platins the act of platin binding can ultimately affect the antibodies' and peptides' shapes and receptor binding properties, so other means for synthesizing antibody- and peptide-platin vehicles are needed.

Aptamers

Aptamers are short (usually 20–100 bases) DNA or RNA strands that bind proteins and peptides with high target selectivity. They are generated through the process of systematic evolution of ligands by exponential enrichment. In many ways aptamers can be superior to antibodies as they are just as selective and have identical binding affinities but are also non-immunogenic, more easily synthesized and can be generated against practically any target. In cancer, aptamers can be used in a variety of ways, including cancer diagnostics and testing, as a therapeutic agent for cancer and as active targeting agents for drug delivery (Lao *et al.* 2015, Ma *et al.* 2015).

Aptamers have been used as active targeting agents for a number for platin-based drugs. Cisplatin has been encapsulated into liposomes to which the AS1411 aptamer was tethered to the surface (Cao *et al.* 2009). This aptamer is specific for nucleolin, which is a cell proliferation protein overexpressed on the surface of many types of cancer cells. The conjugation of cholesterol to the end of the aptamer facilitated attachment to the surface of the liposome when it was absorbed into the lipid bilayer.

A platinum(IV) complex, similar to LA-12, which yields cisplatin when reduced inside the cell, has also been included in liposomes that are actively targeted to prostate cancer cells via the attachment of the prostate specific membrane antigen selective aptamer called A10 (Dhar *et al.* 2008a,b). For this delivery system, the aptamer is attached to the surface of the liposome through an amide bond between the carboxylate groups on the liposome surface and an amine attached to the 3' end of the aptamer.

While both liposome-aptamer delivery systems demonstrated improved *in vitro* cytotoxicity and uptake

compared with the free drugs, neither system was tested with *in vivo* models. As such, both may display the same inherent drug release problems observed with SPI-77 (see section on liposome delivery of platin drugs). As such, there has been recent interest in finding ways of dispensing with the delivery vehicle entirely and using aptamers as both the active cancer targeting agent and as the drug delivery vehicle. Such a method is called apticular drug delivery, which is a contraction and combination of the words aptamer and vehicular.

Normal platin drugs that form coordination binds with guanosine and adenosine residues with DNA are unsuitable for use as they may irreversibly bind to the aptamer instead of their target, nuclear DNA. Inert platin compounds, such as phenanthroline-based platins (Wheate *et al.* 2007), can be used as potential drugs (Davis *et al.* 2012). These agents, are capable of reversibly intercalating between the base-pairs in double stranded DNA structures such as those available in many aptamers (Kemp *et al.* 2007).

In solution, many aptamers will fold to form regions of double helical DNA. One such aptamer is sgc8c, which is selective for T cell leukaemias (Shangguan *et al.* 2007). This aptamer forms a loop and stem structure into which the platin complex, PHENEN, was intercalated for simultaneous targeting and drug delivery. Unfortunately, intercalation of the platin into the aptamer locked the stem and loop conformation and resulted in a decrease in both the *in vitro* cytotoxicity and uptake of the platin compared with its free form (McGinley *et al.* 2013).

Therefore, if aptamers are to be used as delivery vehicles, a mechanism by which platin drugs can be loaded into the aptamers needs to be developed that does not affect the aptamer's secondary or tertiary conformation and its ability to recognise its cellular target.

Functional genomics for predicting patient response to platins

The third big challenge for platin drugs is inter-patient variability in response to platin treatment. This includes patient-to-patient changes in drug pharmacokinetics and tumour response, and the severity of the side effects. Recent research has shown that it may be possible to use genetics to predict tumour response and side effects, and this could become a standard diagnostic tool in the selection of both the drug to be used and the most appropriate dose for administration.

Predicting toxic side effects

A number of different side effects caused by platin drugs have been examined to see if genetic variations can predict their level of severity. Ototoxicity has been significantly studied, mainly as this is a side effect mostly associated with platin therapy and due to the number of patients that experience this toxicity: 70% of children require a hearing aid after prolonged treatment with a platin drug (Xu *et al.* 2015).

Several studies have identified potential gene variants that appear to predict severe ototoxicity. One study has identified the variant *rs1872328* of the *ACYP2* gene as one such marker, which codes for an enzyme that has an effect on Ca^{2+} homeostasis in muscles and the cochlea (Xu *et al.* 2015). Patients that were positive for the variant were found to be predisposed to severe ototoxicity from cisplatin.

Predicting drug efficacy

Cisplatin is used to treat squamous cell carcinomas of the head and neck. For these types of cancers, *TP53* is the most varied gene, with between 60 and 80% having a mutation. In one study that used an evolutionary action score to assess the mutations, it was found that a subset of high-risk *TP53* mutations demonstrated significantly reduced *in vitro* and *in vivo* drug efficacy, making the gene a potential prognostic marker for selection of cisplatin in treatment of head and neck cancers (Osman *et al.* 2015).

In another study, the predictive efficacy of cisplatin and carboplatin was examined in triple negative breast cancer. Whilst platins are not normally a first-line treatment for breast cancer, one phase II clinical study has found that patients that do not have mutations of *BRCA1* and *BRCA2*, which results in lower DNA double strand break repairs, may be a predictive tool for the selection of platins for treatment (Isakoff *et al.* 2015).

In some instances, both toxicity and efficacy can be predicted together. One study found that the leukopenia side effect and efficacy of cisplatin can be predicted in part by testing the level of DNA binding by the drug in peripheral blood leukocytes in children (Veal *et al.* 2001). The level of DNA binding in those cells was found to be a related to patient-specific factors and not a simple function of cisplatin pharmacokinetics. The study concluded that testing patients' blood samples before treatment can be used to predict both the level of expected leukopenia and the expected effectiveness of the drug.

Overall, the application of functional genomics will have the biggest and fastest impact on platin-based chemotherapy in the coming decade. The studies completed so far just scratch the surface and it is likely that many more markers will be found to predict the severity of other toxicities and drug effectiveness in many more cancer types.

Conclusions

Platin drugs remain one of the most important and used families of chemotherapy drugs and are not likely to be replaced clinically any time soon. They are not without their drawbacks, with severe side effects limiting the dose that can be given to patients and the development of drug resistance by many types of cancers. While new platin drugs continue to be developed, they are likely to suffer from the same problems, and as such, there is now considerable focus on developing new formulations that are more stable and more selective for cancers. No one formulation method perfectly solves the problems of platin drugs, and many introduce their own problems, such as inherent toxicity, poor or uncontrolled drug release, variability in drug loading or changes in particle drug delivery size and stability. Importantly, active targeting agents that include nutrients, proteins, antibodies and aptamers are beginning to show promise, although the mechanism of drug attachment and release still needs to be resolved in some cases. Finally, the use of functional genomics is beginning to show promise in delivering platin drugs as personalised medicines, allowing doctors to select agents based on both their expected efficacy to individual patients and the likelihood of severe side effects. Markers for predicting ototoxicity and for drug activity for head and neck cancers have already been found, and many more are likely waiting to be uncovered.

Declaration of interest

N J Wheate is a named inventor on two patents for macrocycle and nanoparticle delivery vehicles for platin drugs.

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Author contribution statement

M G Apps and E H Y Choi conducted literature searches and proof read the manuscript. N J Wheate conducted literature searches, collated information, and prepared and proof read the manuscript.

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